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**MAIL STOP PCT**

Docket No. 292328US2RD PCT

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

IN RE APPLICATION OF: Kazuhiko ITAYA, et al.

SERIAL NO: 10/582,229

GAU:

FILED: June 9, 2006

EXAMINER:

FOR: THIN FILM PIEZOELECTRIC RESONATOR AND METHOD OF MANUFACTURING THE SAME

**INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97**

COMMISSIONER FOR PATENTS  
ALEXANDRIA, VIRGINIA 22313

SIR:

Applicant(s) wish to disclose the following information.

**REFERENCES**

- ☒ The applicant(s) wish to make of record the references cited in the International Search Report and listed on the attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

**RELATED CASES**

- ☒ Attached is a list of applicant's pending application(s), published application(s) or issued patent(s) which may be related to the present application. In accordance with the waiver of 37 CFR 1.98 dated September 21, 2004, copies of the cited pending applications are not provided. Cited published and/or issued patents, if any, are listed on the attached PTO form 1449.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

**CERTIFICATION**

- ☐ Each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- ☐ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

**DEPOSIT ACCOUNT**

- ☒ Please charge any additional fees for the papers being filed herewith and for which no check or credit card payment is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND,  
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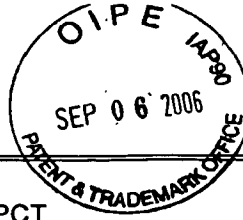
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Form PTO 1449 (Modified)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTY DOCKET NO. 292328US2RD PCT		SERIAL NO. 10/582,229	
LIST OF REFERENCES CITED BY APPLICANT				APPLICANT Kazuhiko ITAYA, et al.			
				FILING DATE June 9, 2006		GROUP	
U.S. PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
/T.D./	AA	6 150 703	11-21-00	CUSHMAN, Drew et al.			
/T.D./	AB	2002 190814	12-19-02	YAMADA, Tetsuo et al.			
/T.D./	AC	2004 263287	12-30-04	GINSBURG, Eyal et al.			
	AD						
	AE						
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	AG						
	AH						
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	AJ						
	AK						
	AL						
	AM						
	AN						
FOREIGN PATENT DOCUMENTS							
		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION YES NO		
/T.D./	AO	01 06647	01-25-01	WO (equivalent of US 6788170 and US 6812619)			NO
	AP						
	AQ						
	AR						
	AS						
	AT						
	AU						
	AV						
OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)							
/T.D./	AW	THALHAMMER, Robert et al., "Prediction of BAW Resonator Performance Using Experimental and Numerical Methods", Ultrasonics Symposium, pgs. 282-285, 2004.					
/T.D./	AX	AIGNER, Robert et al., "Bulk-Acoustic-Wave Filters: Performance Optimization and Volume Manufacturing", International Microwave Symposium Digest., Vol. 3, pgs. 2001-2004, 2003.					
	AY						
	AZ					<input type="checkbox"/> Additional References sheet(s) attached	
Examiner /Thomas Dougherty/					Date Considered 10/30/2009		
*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.							



		Docket No.: 292328US2RD PCT	Serial No.: 10/582,229
LIST OF RELATED CASES CITED BY APPLICANT UNDER 37 CFR 1.56		Inventor: Kazuhiko ITAYA, et al.	
		Filing Date: June 9, 2006	Group:

**LIST OF RELATED CASES**

<u>Examiner Initial</u>	<u>Docket No.</u>	<u>Serial or Patent Number</u>	<u>Filing or Issue Date</u>	<u>Patent App. Publication No.</u>	<u>Inventor or Applicant</u>
/T.D./	292328US2RD PCT*	10/582,229	06/09/06		ITAYA, et al.
/T.D./	292325US2RD	11/447,999	06/07/06		OHARA, et al.

Examiner /Thomas Dougherty/

Date Considered

10/30/2009

\*Present Application; listed for information

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